

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Xpplicant:

Albani and Prakken

Art Unit:

1645

Serial No.:

09/828,574

Examiner

Navarro, A. M.

Filed:

April 6, 2001

Title:

STRESS PROTEINS AND PEPTIDES AND METHODS OF USE THEREOF

Mail Stop Sequence

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 RECEIVED

JUN 1 8 2003

TECH CENTER 1600/2900

TRANSMITTAL LETTER

Sir:

In connection with the response to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures mailed May 8, 2003, Applicants provide herewith a substitute computer readable and a paper copy of the Sequence Listing in accordance with 37 C.F.R. §§ 1.821 – 1.825 *et seq*.

Transmitted herewith for the above-identified application please find:

- Statement Under 37 C.F.R. §§ 1.821(f), (g) and 37 C.F.R. §§ 1.825(a),
 (b) (2 pgs.);
- 2. Verified Statement Under 37 C.F.R. § 1.821(f) (1 pg.);
- 3. Amendment Supporting Substitute Copy of Sequence Listing (4 pgs.);
- 4. Substitute Sequence Listing (10 pgs.);
- 5. Substitute Sequence Listing in computer readable form (3.5" disk);
- 6. Copy of Notice to Comply with Nucleic Acid/Amino Acid Sequence Rules (4 pgs.);
- 7. Return Postcard

| CERTIFICATION UNDER 37 | CFR \$1.8 |
|---|---|
| | |
| I hereby certify that the documents referred to a deposited with the United States Postal Service as June 6, 2003 . in an envelope addre Commissioner for Patents P.O. Box 1450 Alexand | first class mail on this date ssed to MS Sequence |
| Mikhail Bayley | |
| Name of Person Manling Paper | |
| tille of the | June 6, 2003 |
| Signature | Date |

* In re Application of:
Albani and Prakken
Application No.: 09/828,574

PATENT ATTY. DOCKET NO.: UCSD1310-1

Filed: April 6, 2001

Page 2

No fee is deemed necessary in connection with the filing of this paper. However, if any fee is required, the Commissioner is hereby authorized to charge the amount of this fee, or credit any overpayments, to Deposit Account No. <u>50-1355</u>. A copy of this Transmittal Sheet is enclosed.

Respectfully submitted,

Date: June 6, 2002

Lisa A. Haile, J.D., Ph.D.

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER OF PATENTS AND TRADEMARKS PO Box 1450 Alexandra, Vignua 22313 1450 www.uspto.gov

| APPLICATION NO | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO | |
|--|---------------|----------------------|-------------------------|-----------------|--|
| 09 828,574 | 04 06/2001 | Salvatore Albani | UCSD1310-1 | 6601 | |
| 759 | 90 05 08/2003 | | | | |
| Lisa A. Haile, Ph.D. Gray Cary Ware & Freidenrich LLP Suite 1600 4365 Executive Drive, San Diego, CA 92121-2189 PATENT DOCKETING | | EXAMINER | | | |
| | | RECEIVED | NAVARRO, ALBERT MARK | | |
| | | MAY 1 2 2003 | ART UNIT | PAPER NUMBER | |
| | | | 1645 | | |
| | | ATENT DOCKETING | DATE MAILED: 05/08/2003 | | |
| | | MAY 1 2 2003 | | | |

Please find below and/or attached an Office communication concerning this application or proceeding.

THE COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

DEA/FCE-1994

| SERIAL NUMBER | FILING DATE | F | RST NAMED APPLICANT | ATTORNEY DOCKET NO. |
|---------------|-------------|---|---------------------|---------------------|
| | | | | |

EXAMINER

ART UNIT PAPER NUMBER

15

DATE MAILED:

Please find below a communication from the EXAMINER in charge of this application

Commissioner of Patents

This application contains sequence disclosures that are encompassed by the definitions for nucleotide and/or amino acid sequences set forth in 37 CFR 1.821(a)(1) and (a)(2). However, this application fails to comply with the requirements of 37 CFR 1.821 through 1.825 for the reason(s) set forth on the attached Notice To Comply With Requirements For Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures.

In particular, Applicants amendment to claim 1 resulted in the introduction of new sequences without a corresponding SEQ ID NO tag.

Applicants are reminded that all amino acid sequences of 4 amino acids or greater are required to have a SEQ ID NO tag.

Applicant is given ONE MONTH, or THIRTY DAYS, whichever is longer, from the mailing date of this letter within which to comply with the sequence rules, 37 CFR 1.821 - 1.825. Failure to comply with these requirements will result in ABANDONMENT of the application under 37 CFR 1.821(g). Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). In no case may an applicant extend the period for reply beyond the SIX MONTH statutory period. Direct the reply

to the undersigned. Applicant is requested to return a copy of the attached

Notice to Comply with the reply.

Mark Navarro

Primary Examiner

May 1, 2003